

Sheet 1 of 1

FORM PTO-1449 (REV. 7-80)	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTY. DOCKET NO. 210121.455C21	APPLICATION NO. 10/775,972
SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)		APPLICANTS Robert A. Henderson et al.	
		FILING DATE February 10, 2004	GROUP ART UNIT 1645

U.S. PATENT DOCUMENTS

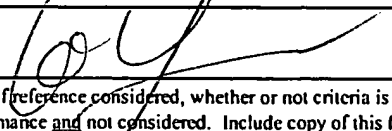
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	AC						
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	AH						
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	AJ						

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION	
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W	AK	WO 01/94629	12/13/01	WIPO		
W	AL	WO 02/000174	01/03/02	WIPO		
W	AM	WO 02/20036	03/14/02	WIPO		X
	AN					
	AO					

OTHER PRIOR ART (Including Author, Title, Date, Pertinent Pages, Etc.)

	AP	
	AQ	
	AR	

EXAMINER 	DATE CONSIDERED 11-05-05
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FORM PTO-1449
(REV. 7-80)U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICEATTY. DOCKET NO.
210121.455C21APPLICATION NO.
10/775,972

INFORMATION DISCLOSURE STATEMENT

(Draw several sheets if necessary)

APPLICANTS

Robert A. Henderson et al.

FILING DATE

February 10, 2004

GROUP ART UNIT

1645

U.S. PATENT DOCUMENTS

*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
W	AA	5,589,579	12/31/96	Torczynski et al.	536	23.1	
Y	AB	5,705,159	01/06/98	Irie et al	424	185.1	
Y	AC	5,783,422	07/21/98	Suminami et al.	435	69.3	
Y	AD	6,200,764	03/13/00	Carney	435	7.23	
Y	AE	6,297,364	10/2/01	Chen et al.	536	23.1	
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FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION	
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Y	AK	EP 1033401 A2	09/06/00	EPO		
Y	AL	EP 1130094 A2	09/05/01	EPO		
Y	AM	EP 679716 A1	11/11/94	EPO		
Y	AN	EP 695760 A1	02/07/96	EPO		
Y	AO	WO 91/18926	12/12/91	WIPO		

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

Y	AP	Ackermann, R. "Monoclonal antibodies," <i>Human Cell</i> 1(1): 46-53, 1988 (Abstract Only; PubMed ID:3154013).
Y	AQ	Arceci, R.J., "The potential for antitumor vaccination in acute myelogenous leukemia," <i>J. Mol. Med.</i> 76: 80-93, 1998.
Y	AR	Baldi et al., "Differential expression of Rb2/p130 and p107 in normal human tissues and in primary lung cancer," <i>Clinical Cancer Research</i> 3(10):1691-1697, October 1997.

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U	BB	WO 94/06929	03/31/94	WIPO		
	BC	WO 95/21862	08/17/95	WIPO		
	BD	WO 96/02552	02/01/96	WIPO		
	BE	WO 96/28473	09/19/96	WIPO		
	BF	WO 96/30389	10/03/96	WIPO		
	BG	WO 97/07244	02/27/97	WIPO		
	BH	WO 98/35985	08/20/98	WIPO		
	BI	WO 98/46788	10/22/98	WIPO		
	BJ	WO 99/38973	08/05/99	WIPO		
U	BK	WO 99/46594	09/16/99	WIPO		

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

U	BL	Bergers and Coussens, "Extrinsic regulators of epithelial tumor progression: metalloproteinases," <i>Current Opinion in Genetics & Developments</i> 10: 120-127, 200.
	BM	Bodey, B. et al., "Failure of Cancer Vaccines: The Significant Limitations of this Approach to Immunotherapy," <i>Anticancer Research</i> 20: 2665-2676, 2000.
	BN	Boon, T., "Toward a Genetic Analysis of Tumor Rejection Antigens," <i>Advances in Cancer Research</i> 58: 177-210, 1992.
	BO	Brass et al., "Translation initiation factor eIF-4gamma is encoded by an amplified gene and induces an immune response in squamous cell lung carcinoma," <i>Human Molecular Genetics</i> , 6(1): 33-39, 1997.
	BP	Chen, Shen-Lin et al., "Isolation and characterization of a novel gene expressed in multiple cancers," <i>Oncogene</i> 12: 741-751, 1996.

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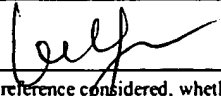
FOREIGN PATENT DOCUMENTS

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Qep	CB	WO 99/47674	09/23/99	WIPO		
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	CE	WO 01/47944	07/05/01	WIPO		
	CF	WO 01/55322	08/02/01	WIPO		
	CG	WO 01/57272	08/09/01	WIPO		
	CH	WO 01/57275	08/09/01	WIPO		
W	CI	WO 01/57276	08/09/01	WIPO		
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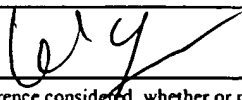
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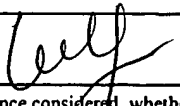
W	CL	Chen, Y.-T. et al., "A testicular antigen aberrantly expressed in human cancers detected by autologous antibody screening" <i>Proc. Natl. Acad. Sci. USA</i> 94: 1914-1918, March 1997.
	CM	Database EMBL Nucleotide and Protein Sequence, Accession No. AI468638, March 17, 1999.
	CN	Database EMBLest17 Accession No. AA340797:EST46165 Fetal kidney II Homo sapiens cDNA 3' end, April 18, 1997.
	CO	Database EMBLest17 Accession No. W22264:Human retina cDNATsp-509I-cleaved sublibrary Homo sapiens cDNA not directional, May 9, 1996.
W	CP	Davidson et al., "Lung tumours immunoreactive for parathyroid hormone related peptide: analysis of serum calcium levels and tumour type," <i>Journal of Pathology</i> 178:398-401, January 1996.


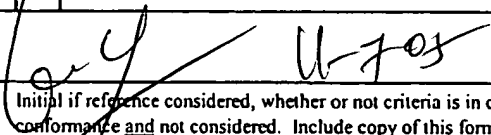
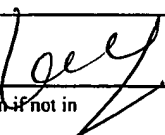
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OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)							
W	DC	Eck and Wilson, "Gene-Based Therapy," in <i>Goodman & Gilman's The Pharmacological Basis of Therapeutics</i> , 9 th Edition, McGraw-Hill, New York, 1996, pgs. 77-101.					
	DD	Ezzell, C., "Cancer 'Vaccines': An Idea Whose Time Has Come?" <i>The Journal of NIH Research</i> 7: 46-49, January 1995.					
	DE	Finch et al., "Identification of a cloned sequence activated during multi-stage carcinogenesis in mouse skin," <i>Carcinogenesis</i> , 12(8):1519-1522, August 1991.					
	DF	GenBank Database, Accession No. AAB82295, October 30, 1997.					
	DG	GenBank Database, Accession No. AAB97457, January 22, 1998.					
	DH	GenBank Database, Accession No. AAC18597, June 3, 1998.					
	DI	GenBank Database, Accession No. AAC18598, June 3, 1998.					
↓	DJ	GenBank Database, Accession No. AAC35208, May 13, 1997.					
	DK	GenBank Database, Accession No. AAC41285, May 13, 1998.					
↓	DL	GenBank Database, Accession No. AAD09223, January 26, 1999.					
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				11-7-05			
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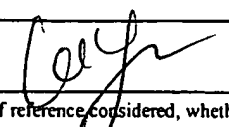
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Cg	EC	GenBank Database, Accession No. AAD09827, January 26, 1999.					
	ED	GenBank Database, Accession No. AAD09828, January 26, 1999.					
	EE	GenBank Database, Accession No. AAF37203, March 2, 2000.					
	EF	GenBank Database, Accession No. AC005082, September 8, 1999.					
	EG	GenBank Database, Accession No. AC021876, January 21, 2000.					
	EH	GenBank Database, Accession No. AC079780, February 21, 2002.					
	EI	GenBank Database, Accession No. AC092447, July 4, 2001.					
	EJ	GenBank Database, Accession No. AF043977, June 23, 1999.					
u	EK	GenBank Database, Accession No. AF117108, January 26, 1999.					
	EL	GenBank Database, Accession No. AF198254, March 2, 2000.					
EXAMINER				DATE CONSIDERED			
Cg				11 Feb			
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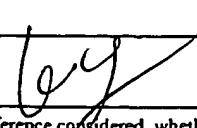
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C	FC	GenBank Database, Accession No. AL023775, November 23, 1999.					
	FD	GenBank Database, Accession No. BAB19755, December 20, 2000.					
	FE	GenBank Database, Accession No. BAB27779, February 8, 2001.					
	FF	GenBank Database, Accession No. BAB27848, February 8, 2001.					
	FG	GenBank Database, Accession No. BC019258, December 19, 2001.					
	FH	GenBank Database, Accession No. NM_006547, November 1, 2000.					
	FI	GenBank Database, Accession No. NP_006537, August 10, 1999.					
	FJ	GenBank Database, Accession No. NP_006538, November 1, 2000.					
	FK	GenBank Database, Accession No. NP_006539, August 10, 1999.					
L	FL	GenBank Database, Accession No. NP_034081, January 25, 2000.					
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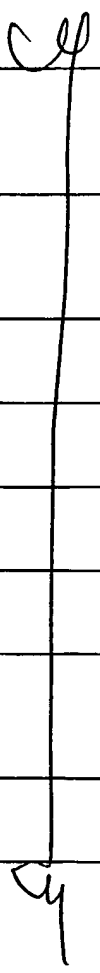
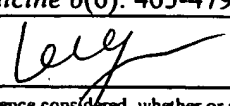
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	GC		GenBank Database, Accession No. NP_076159, February 19, 2001.				
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	GE		GenBank Database, Accession No. O00425, July 1, 1997.				
	GF		GenBank Database, Accession No. U76705, January 26, 1999.				
	GG		GenBank Database, Accession No. U85946, July 30, 1999.				
	GH		GenBank Database, Accession No. U97188, May 20, 1997.				
	GI		GenBank Database, Accession No. XM_004780, November 16, 2000.				
	GJ		GenBank Database, Accession No. XP_004780, November 16, 2000.				
	GK		Geneseq Accession Number AAC66035, February 21, 2001.				
	GL		Geneseq Accession Number AAZ24653, December 7, 1999.				
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	HC	Geneseq Accession Number AAZ36150, December 7, 1999.					
	HD	Geneseq Database (Thomson Derwent), Accession AAL28189, January 24, 2002.					
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	HF	Geneseq Database (Thomson Derwent), Accession AAM93826, November 6, 2001.					
	HG	Geneseq Database (Thomson Derwent), Accession AAM93826, November 6, 2001.					
	HH	Geneseq Database (Thomson Derwent), Accession AAT26750, October 23, 1996.					
	HI	Geneseq Database (Thomson Derwent), Accession AAT26750, October 23, 1996.					
	HJ	Geneseq Database (Thomson Derwent), Accession AAU16161, November 7, 2001.					
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	HL	Geneseq Database (Thomson Derwent), Accession AAU16163, November 7, 2001.					
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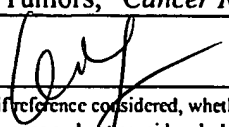

11-7-03

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	IK		Geneseq Database (Thomson Derwent), Accession AAU16583, November 7, 2001.				
	IL		Gerhold and Caskey, "It's the genes! EST access to human genome content," <i>BioEssays</i> 18(12):973-981, 1996.				
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JA							
FOREIGN PATENT DOCUMENTS							
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						YES	NO
JB							
OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)							
Cp	JC	Greenspan and Cooper, "Complementarity, specificity and the nature of epitopes and paratopes in multivalent interactions," <i>Immunology Today</i> 16(5): 226-230, 1995.					
11	JD	Gruber et al., "Molecular cloning and transmembrane structure of hCLCA2 from human lung, trachea, and mammary gland," <i>Am. J. Physiol.</i> 276(Cell Physiol 45):C1261-C1270, 1999.					
	JE	Guo et al., "Identification and characterization of homologues of the Exocyst component Sec10p," <i>FEBS Letters</i> 404(2-3):135-139, 1997.					
	JF	Gura, T., "System for Identifying New Drugs Are Often Faulty," <i>Science</i> 278: 1041-1042, November 7, 1997.					
	JG	Güre, A.O. et al., "Human Lung Cancer Antigens Recognized by Autologous Antibodies: Definition of a Novel cDNA Derived from the Tumor Suppressor," <i>Cancer Research</i> 58: 1034-1041, March 1, 1998.					
	JH	Hara et al., "Characterization of cell phenotype by a novel cDNA library subtraction system: expression of CD8 α in a mast cell-derived interleukin-4-dependent cell line," <i>Blood</i> 84(1):189-199, July 1, 1994.					
	JI	Henderson et al., "Identification of lung tumor antigens for cancer immunotherapy: immunological and molecular approaches," <i>Immunological Investigation</i> 29(2):87-91, May 2000.					
	JJ	Hogan et al., "The peptide recognized by HLA-A68.2-restricted, squamous cell carcinoma of the lung-specific cytotoxic T lymphocytes is derived from a mutated elongation factor 2 gene," <i>Cancer Research</i> 58(22):5144-5150, November 15, 1998.					
W	JK	Hu et al., "A small proline-rich protein, spr1: specific marker for squamous lung carcinoma," <i>Lung Cancer</i> 20:25-30, 1998.					
	JL	Jolly, D., "Viral vector systems for gene therapy," <i>Cancer Gene Therapy</i> 1(1):51-64, 1994.					
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*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE	
KA							
FOREIGN PATENT DOCUMENTS							
	DOCUMENT NUMBER	DATE	COUNTRY			TRANSLATION	
						YES	NO
KB							
OTHER ART <i>(Including Author, Title, Date, Pertinent Pages, Etc.)</i>							
	KC	Kaye, F.J. et al. "A single amino acid substitution results in a retinoblastoma protein defective in phosphorylation and oncoprotein binding," <i>Proc. Natl. Acad. Sci. USA</i> 87: 6922-6926, September 1990.					
	KD	Lee, K-H. et al., "Increased Vaccine-Specific T Cell Frequency After Peptide-Based Vaccination Correlates with Increased Susceptibility to In Vitro Stimulation But Does Not Lead to Tumor Regression," <i>The Journal of Immunology</i> 163: 6292-6300, 1999.					
	KE	Lelievre et al., "Structural properties of chimeric peptides containing a T-cell epitope linked to a fusion peptide and their importance for in vivo induction of cytotoxic T-cell responses," <i>European Journal of Biochemistry</i> 249(3):895-904, 1997.					
	KF	Marshall and Hodgson, "DNA chips: an array of possibilities," <i>Nature Biotechnology</i> 16:27-31, January 1998.					
	KG	Marusawa, H. et al., "HBXIP functions as a cofactor of surviving in apoptosis suppression," <i>EMBO Journal</i> 22(11): 2729-2740, 2003.					
	KH	Müeller-Pillasch, F. et al., "Cloning of a gene highly overexpressed in cancer coding for a novel KH-domain containing protein," <i>Oncogene</i> 14: 2729-2733, 1997.					
	KI	New England Biolabs website, Description on the pCyB Expression Vectors: http://circuit.neb.com/neb/faqs/impact2.html , (2001)					
	KJ	Nielsen, J. et al., "A Family of Insulin-Like Growth Factor II mRNA-Binding Proteins Represses Translation in Late Development," <i>Molecular and Cellular Biology</i> 19(2): 1262-1270, February 1999.					
	KK	Pastor et al., "Diagnostic value of SCC, CEA and CYFRA 21.1 in lung cancer: a Bayesian analysis," <i>Eur. Respir J.</i> 10(3):603-609, March 1997.					
	KL	Radoja and Frey, "Cancer-induced Defective Cytotoxic T Lymphocyte Effector Function: Another Mechanism How Antigenic Tumors Escape Immune-mediated Killing," <i>Molecular Medicine</i> 6(6): 465-479, June 2000.					
EXAMINER 				DATE CONSIDERED 11-7-03			
* EXAMINER: Initial if reference considered, whether or not criteria is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant(s).							

FORM PTO-1449 (REV. 7-80)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTY. DOCKET NO. 210121.455C21		APPLICATION NO. 10/775,972	
INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)				APPLICANTS Robert A. Henderson et al.			
				FILING DATE February 10, 2004		GROUP ART UNIT 1645	
U.S. PATENT DOCUMENTS							
*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	LA						
FOREIGN PATENT DOCUMENTS							
		DOCUMENT NUMBER	DATE	COUNTRY		TRANSLATION YES NO	
	LB						
OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)							
u	LC			Rammensee et al., "MHC ligands and peptide motifs: first listing," <i>Immunogenetics</i> 41:178-228, 1995.			
	LD			Ramsay, G., "DNA chips: state-of-the art," <i>Nature Biotechnology</i> 16:40-44, January 1998.			
	LE			Rudinger, J., "Characteristics of the amino acids as components of a peptide hormone sequence," in <i>Peptide Hormones</i> , Parsons, J.A. (ed.), University Park Press, Baltimore MD, June 1976, pgs. 1-7			
	LF			Ruppert et al., "Prominent role of secondary anchor residues in peptide binding to HLA-A2.1 molecules," <i>Cell</i> 74:929-937, September 10, 1993.			
	LG			Russell and Barton, "Structural features can be unconserved in proteins with similar folds," <i>J. Mol. Biol.</i> 244:332-350, 1994.			
	LH			Sherman et al., "Selecting T cell receptors with high affinity for self-MHC by decreasing the contribution of CD8," <i>Science</i> 258:815-818, October 30, 1992.			
	LI			Sjölander, A. et al., "Kinetics, localization and cytokine profile of T cell responses to immune stimulating complexes (iscoms) containing human influenza virus envelope glycoproteins," <i>Vaccine</i> 15(9): 1030-1038, 1997.			
	LJ			Skeiky et al., "Cloning, expression and immunological evaluation of two putative secreted serine protease antigens of Mycobacterium tuberculosis," <i>Infection and Immunity</i> 67(8):3998-4007, August 1999.			
	LK			Skolnick and Fetrow, "From genes to protein structure and function: novel applications of computational approaches in the genomic era," <i>Trends in Biotechnology</i> 18: 34-39, January 2000.			
cy	LL			Spitler, L.E., "Cancer Vaccines: The Interferon Analogy," <i>Cancer Biotherapy</i> 10(1): 1-3, 1995.			
EXAMINER				DATE CONSIDERED			
				1-17-05			
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MA							
FOREIGN PATENT DOCUMENTS							
*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	COUNTRY			TRANSLATION IF APPROPRIATE	
MB						YES NO	
OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)							
W	MC	Stern, P.S., "Predicting antigenic sites on proteins," <i>Trends in Biotechnology</i> 9: 163-169, May 1991.					
	MD	Theobald et al., "Targeting p53 as a general tumor antigen," <i>Proc. Natl. Acad. Sci. USA</i> 92:11993-11997, December 1995.					
	ME	Timmerman and Levy, "Dendritic Cell Vaccines for Cancer Immunotherapy," <i>Annu. Rev. Med.</i> 50: 507-529, 1999.					
	MF	Visseren et al., "Identification of HLA-A *0201-restricted CTL epitopes encoded by the tumor-specific MAGE-2 gene product," <i>International Journal of Cancer</i> 73(1):125-130, 1997.					
	MG	Wang et al., "Identification of genes differentially over-expressed in lung squamous cell carcinoma using combination of cDNA subtraction and microarray analysis," <i>Oncogene</i> 19(12):1519-1528, March 16, 2000.					
	MH	Wells and Peitsch, "The chemokine information source: identification and characterization of novel chemokines using the WorldWideWeb and expressed sequence tag databases," <i>Journal of Leukocyte Biology</i> 61:545-550, May 1997.					
	MI	Wong, C.P. et al., "TCR Vaccines Against T Cell Lymphoma: QS-21 and IL-12 Adjuvants Induce a Protective CD8 ⁺ T Cell Response," <i>The Journal of Immunology</i> 162: 2251-2258, 1999.					
	MJ	Yee et al., "Isolation of tyrosinase-specific CD8 ⁺ and CD4 ⁺ T cell clones from the peripheral blood of melanoma patients following in vitro stimulation with recombinant vaccinia virus," <i>The Journal of Immunology</i> 157:4079-4086, 1996.					
M	MK	Zaks and Rosenberg, "Immunization with Peptide Epitope (p369-377) from HER-2/neu Leads to Peptide-specific Cytotoxic T Lymphocytes That Fail to Recognize HER-2/neu+ Tumors," <i>Cancer Research</i> 58: 4902-4908, November 1, 1998.					
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